



# Ohio Department of Mental Health

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DATE: 4/1/2009      NUMBER: C-04-09-01      ORIGINATOR & TITLE:  
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DISTRIBUTION:

BHO's       Boards       Provider Agencies       Shareholder Organizations

SUBJECT:

Allocations       Certification       Critical Information       Licensure  
 MACSIS       Medicaid       MSPA       Policy  
 Procedure       Other (specify):

TITLE OF CORRESPONDENCE: Community Allocation Guidelines for Fiscal Year 2010

CONTENT: In accordance with Section 5119.62 (B)(3) of the Ohio Revised Code, the Ohio Department of Mental Health (ODMH) hereby gives notice by the required April 1<sup>st</sup> deadline to each alcohol, drug addiction, and mental health services / community mental health board (ADAMH/CMH Board) of the publication of the Allocation Guidelines for State fiscal year 2010.

The purpose of these Allocation Guidelines is to communicate ODMH's projected funding commitments to ADAMH/CMH Boards, barring any unforeseen budget cuts or adjustments made during the legislative process, to enable ADAMH/CMH Boards to complete the process of planning and for use in supporting local planning and funding of services and activities in State Fiscal Year 2010. These allocations guidelines also, in part, set forth ODMH's priorities, goals and methodologies for the distribution of State General Revenue Funds and other funds. ODMH remains committed to a continuing dialogue with interested parties regarding these allocations and underlying formulas.

The principals used by ODMH to develop these Allocation Guidelines were initially discussed last summer during a three day consultative process with relevant constituencies making up the System Finance Principals Workgroup. During these consultations, and with the assistance of Maggie Lewis, a trained dispute resolution specialist from the Ohio Commission on Dispute Resolution & Conflict Resolution, the System Finance Principals Workgroup participants identified specific principles for allocating to ADAMH/CMH Boards appropriations from the State General Revenue Fund for the purpose of local management of mental health services. In

furtherance of the work completed and recommendations made by the System Finance Principals Workgroup, and in accordance with the mandates of Section 5119.62 of the Ohio Revised Code, ODMH hosted additional meetings with relevant constituencies. ODMH invited a number of ADAMH/CMH Boards and other interested parties to participate in a meeting held March 6, 2009, to allow an additional opportunity to refine the applications of these principals to the allocation of State funds. Subsequently, another invitation was extended specifically to all ADAMH/CMH Boards and the Ohio Association of County Behavioral Health Authorities to meet March 19, 2009, directly with ODMH to further refine the application of the funding principals. ODMH also extended the opportunity for ADAMH/CMH Boards to submit written comments in lieu of or in addition to attendance at the March 19<sup>th</sup> meeting. After each of these meetings, ODMH gave careful consideration to the comments of participants, as well as the written feedback that was submitted, and based upon this information made changes at each step in the process to ODMH's proposed funding formula.

The attached Allocation Guidelines, including an estimate of 408 fund distribution, represent the culmination of the work performed by the Systems Finance Principals Workgroup and the additional meetings hosted by ODMH with appropriate constituencies.

Bearing in mind the context of the existing budgetary environment, the figures contained in these Allocation Guidelines acknowledge the current state of budget information as we know it today. Predictably, a number of factors might well change the figures contained in these guidelines as the budget process becomes clearer. These issues include but are not limited to:

- The finalized State Fiscal Year 2010 Budget;
- Guidance on the permissible use and distribution of Federal Stimulus Dollars;
- Feedback from relevant constituents; and
- Statutory changes.

Consistent with Section 5119.62(B)(3) of the Ohio Revised Code, Attachment 1 to these Allocation Guidelines describes the projected distribution to ADAMH/CMH Boards of each particular funding source that may be drawn down from the State Treasury and used subject to the conditions described in the Allocation Guidelines and underlying Ohio law. These funds will be made available July 1, 2009 for quarterly disbursement (unless otherwise noted in these guidelines) pending approval of the SFY 2010 State Budget by the Ohio General Assembly.

A change in this year's guidelines is that State General Revenue Funds and other funding allocated through Notice of Sub-Recipient Awards (NOSA, formerly known as Notice of Award or NOFA) will not be referenced in the Allocation Guidelines. This information will be available in a separate document that will be distributed prior to April 30, 2009.

Regardless of the method of allocation, it is required that all funds be expended for services that take place within the State Fiscal Year 2010 with the sole exception for ALI 408 as specified in Ohio Revised Code 5119.62(B)(3)(a). All funds not so obligated within the State Fiscal Year 2010 must be returned to ODMH. Any changes in the intended purpose of expenditures as described in these Allocation Guidelines must be pre-approved in writing by ODMH.

**REQUIRED ACTION:** For funds not automatically distributed quarterly, Boards may submit requests to draw-down funds using the form described in the Allocation Guideline's fund description.

**DATES FOR REQUIRED ACTION:** From July 1, 2009 to June 30, 2010 as described in the attached Allocation Guidelines.

**Attachment**

cc: ODMH Leadership Support Team  
Program and Policy Leadership  
Office of Fiscal Administration Managers  
Jim Mauro, NAMI of Ohio  
Cheri Walter, Ohio Association of County Behavioral Health Authorities  
Hugh Wirtz, Ohio Council of Behavioral Health & Family Services Providers  
Terre Garner, Ohio Federation of Families for Children's Mental Health  
Penny Wyman, Ohio Association of Child Caring Agencies  
Ohio Empowerment Center Steering Committee  
Niki Hanselman, Ohio Wellness Network  
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