

Overview of Preadmission Review

PASRR

(Level I Pre-Admission Screen & Resident Review)

Review of Changes to OAC to be effective 12/1/09

November 2009

Federal Laws and Regulations

A Review

- OBRA '87 (AKA The Nursing Home Reform Act):
Prohibits a nursing facility (NF) from accepting new applicants (or retaining residents) with mental illness (SMI) or mental retardation (MR) unless the applicant requires the level of services provided by a NF.
 - Subsequent revisions occurred in 1990, 1992, and 1996

- Section 1919(e)(7) of the Social Security Act
- 42 U.S. Code (USC) 1396r
- 42 CFR sections 483.100 – 483.138

Federal Laws and Regulations

A Review

The federal intent of PAS/RR is to prevent inappropriate and/or long term nursing facility placement of individuals with serious mental illness (SMI) and/or mental retardation and developmental disabilities (MRDD).

State ORC and OAC

A Review

Ohio Revised Code:

- 5111.202
- 5111.203
- 5119.061
- 5123.021

Ohio Administrative Code:

- 5101:3-3-15.1 Preadmission Screening (PAS)
- 5101:3-3-15.2 Resident Review (RR)
- 5122-21-03 Serious Mental Illness (SMI)
- 5123:2-14-01 Mental Retardation (MRDD)
- 5101:6 Appeals (ODJFS)

NEW: 5101:3-3-14 PAS/RR Definitions

PASRR Terms

ODJFS Ohio Department of Job & Family Services

ODMH Ohio Department of Mental Health
~ **DDM Ascend**

DODD Department of Developmental Disabilities
~ County Boards

ODA Ohio Department of Aging
~ PAA (PASSPORT Administrative Agency)

PASRR Terms cont.

- “NF”**
- Ohio Medicaid-certified nursing facility
 - ORC 5111.20
 - And, for purposes of the PASRR rules, includes facility that has submitted application packet to be a Medicaid certified facility
- “PASRR”**
Review
- Pre-Admission Screening and Resident
 - (Federal Mandate)

PASRR Terms cont.

“PAS/ID” Pre-Admission Screening / Identification

- Level I screen for new admissions
- PAA performs review

“RR/ID” Resident Review / Identification

- Level I screen for NF residents
- NF performs review

“NEW ADMISSION”

- Ohio Medicaid-certified NF
- Admitted from another state, regardless of setting
- Discharged from NF (Excluding intervening hospital stays)
- Transfers and readmissions are NOT considered new admissions - **UNLESS the Transfer or Readmission is subsequent to an adverse Level II PAS or RR determination**
- **In the process of new Medicaid certification (new admits & current residents).**

PASRR Terms cont.

“HOSPITAL EXEMPTION” (formerly “Convalescent”)

- Directly from an Ohio Hospital or be an Ohio Resident if out-of-state hospital after receiving acute in-patient care in the hospital
- NF level of services for condition treated in hospital
- Physician certification, signed and dated no later than date of discharge that the stay will be 30 days or less
- Hospital exemption JFS form 07000
- If received adverse PAS or RR Level II determination w/in past 60 days; not eligible for Hospital Exemption.
 - Only need to look into this if the person has a MH or MR/DD diagnosis
 - To find this out, can call: ODMH at 614-728-2556 or DODD at 614-466-1063

“CATEGORICAL DETERMINATION”

- ODMH, DODD
- Yes indications
- 14 days respite
- 7 days emergency
- Individuals with MR/DD with Dementia are no longer treated as categorical

PAS/ID Level I Screen: NEW ADMISSION

SUBMIT ODJFS 3622 FORM & SUPPORTING DOCUMENTATION TO PAA
(Supporting Doc - sufficient to validate answers on 3622)



INDICATIONS OF SMI OR MR/DD?

(22yrs or older & previously ruled-out by DODD is not subject to further review)

3622 form has been revised so that the MH questions will always need to be answered.
If indications, send to DDM.



NO INDICATIONS



REVIEW RESULTS PROVIDED TO NF

- PAS Effective Date – date of request submission
- Results also sent to individual, Guardian, Auth Rep, and submitter



MAY ADMIT INDIVIDUAL



- Determination good for 180 days. If not admitted by then – new PAS.



YES INDICATIONS



PASRR FORWARDED to **DDM ASCEND**
and/or County Board for LII Further
Review

- PAS Effective Date - **Cannot** meet PAS until the date the PAS determination is issued



PAS/ID Level I Screen: NEW ADMISSION (con't)

Yes Indications (con't)



MH and/or **DODD** LII FURTHER
REVIEW DETERMINATION



YES NF NEED

NO NF NEED



MAY BE ADMITTED

MAY NOT BE
ADMITTED

- **Must be admitted w/in 180 days. If not admitted by then - new PAS.**

PAS/ID Level I Screen: NEW ADMISSION (con't)

HOSPITAL EXEMPTION



NF MUST GET AND RETAIN **ODJFS FORM 7000** FROM HOSPITAL



HOSPITAL SEND FORM 7000 TO NF AND TO PAA



NF MAY ADMIT INDIVIDUAL



PAA reviews form 7000 & enters into PIMS

- PAA Reviews form. If not meet exemption criteria, PAA will inform NF
- PAA track for follow up assessment; or
- PAA forwards form 7000 to MH or DD; or

CATEGORICAL DETERMINATION



SUBMIT 3622 & SUPPORTING DOCUMENTATION TO PAA



YES INDICATIONS (REQUEST FOR CATEGORICAL)



PAA FORWARDS PASRR TO **DDM ASCEND** and/or **DODD**; WHO ISSUES DETERMINATION



IF APPROVED:

- Respite – NF admission must be needed w/in 60 days, and must be admitted w/in 60 days. Once admitted, it is good for 14 days
- Emergency - NF admission must be needed w/in 24 hours, and must be admitted w/in 24 hrs. Once admitted, it is good for 7 days

IF DENIED:

Must go through the Level II Further Review

RR/ID Level I Screen: Current Residents

RR/ID is Required when:

INDIVIDUAL WILL BE STAYING BEYOND A SPECIFIED TIME LIMIT

OR OTHER

- UP TO 30 DAYS HOSPITAL EXEMPTION
- 14 DAYS RESPITE
- 7 DAYS EMERGENCY
- **OTHER ODMH OR DODD SPECIFIED PERIOD**
- * SIGNIFICANT CHANGE OF CONDITION
- * NF TRANSFER W/ NO PREVIOUS PASRR RECORDS AVAILABLE

30 DAY – HOSPITAL EXEMPTION

- NF must initiate RR/ID no later than the **29th day** from admission.
- If admitted to hospital or transferred to another NF w/in the 30 days, those days continue to count toward the time limit

7 DAY – EMERGENCY - NF must initiate RR/ID no later than 7 days from admission

14 DAY – RESPITE - NF must initiate RR/ID no later than 14 days from admission

SIGNIFICANT CHANGE OF CONDITION

- NF must initiate RR no later than **72 hours** following identification
 - Decline or **Improvement** and the change is such that:
 - Did not previously have “Indications” but now does
 - For those who previously had SMI: The change may impact MH treatment or placement options
 - For those who previously had MR/DD: it may result in change in Specialized Services needs.

NF TRANSFER W/NO PREVIOUS PASRR RECORDS AVAILABLE

- NF must initiate RR **as soon as NF knows**

OTHER SPECIFIED PERIOD OF TIME – was granted more time to d/c person; time expiring but still need more time – extension.

RR/ID Level I Screen: Current Residents (con't)

RR/ID is due:

NF COMPLETES AND REVIEWS 3622



NO INDICATIONS
(accuracy is important)



NF PLACES 3622 IN RESIDENT'S
FILE

YES INDICATIONS



NF FORWARDS 3622 FOR LII FURTHER
REVIEW



- INDICATIONS OF MR/DD:
Send directly to **state DODD**
- INDICAITONS OF MH:
Send to **DDM Ascend**



RR/ID Level I Screen: Current Residents (con't)

Yes Indications (con't)



ODMH and/or **DODD** ISSUES
DETERMINATION



YES NF NEED

NO NF NEED



NF PLACES
3622, SUPPORTING
DOCUMENTATION,
& DETERMINATION(S)
IN RESIDENT'S FILE

RESIDENT
MAY **NOT** BE
RETAINED

JFS form 3622

JFS Form 07000

PAS-ID Review Results